


2184
PATENT #3
Docket No. 260/012 SP
10-24-03

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I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: October 9, 2003

Signature of Person Certifying: 
Printed Name: Steve Elliott

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Alok K. Srivastava et al.

Serial No.: 09/872,590

Filed: May 31, 2001

**For: METHOD AND MECHANISM FOR
USING A META-LANGUAGE TO
DEFINE AND ANALYZE TRACES**

Group Art Unit: 2184

Examiner: Not yet assigned

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08a and PTO/SB/08b. Copies of the items listed are enclosed herewith.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office Action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ This IDS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.


STATEMENT UNDER 37 CFR § 1.97(e):

- ☐ Each item contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- ☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

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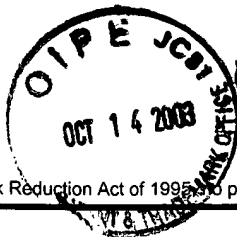
- ☐ A check in the amount of _____ is enclosed for the above fee(s).
- ☒ The Commissioner is authorized to credit any overpayment and to charge any underpayment to Bingham McCutchen's Deposit Account No. **50-2518**, referencing billing no. **7017812001**, for any fees required by the filing of these papers.

Respectfully submitted,

By: 
Peter Mei
Reg. No. 39,768

Dated: October 9, 2003

BINGHAM McCUTCHEN LLP
Three Embarcadero, Suite 1800
San Francisco, CA 94111-4067
Telephone: (650) 849-4400
Telefax: (650) 849-4800



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

1

4

Application Number

09/872,590

Filing Date

05/31/2001

First Named Inventor

Alok K. Srivastava et al.

Art Unit

2184

Examiner Name

Not yet assigned

Attorney Docket Number

260/012

NON PATENT LITERATURE DOCUMENTS

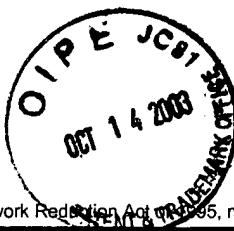
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	1	Agosti, Maristelle and Alan Smeaton. 1996. <i>Information Retrieval and Hypertext</i> . Boston: Kluwer Academic Publishers. ISBN 0-7923-9710-X.	
	2	Akscyn, Robert, Donald McCracken, and Elise Yoder. 1987. "KMS: A Distributed Hypermedia System for Managing Knowledge in Organizations." In <i>Proceedings of Hypertext '87</i> , Chapel Hill, NC. November 13-15, 1987. NY: Association for Computing Machinery Press, pp. 1-20.	
	3	Berners-Lee, T. and L. Masinter, editors. December 1994. "Uniform Resource Locators (URL)". IETF document RFC 1738.	
	4	Bradner, S. editor. Key words for use in RFCs to Indicate Requirement Levels. March 1997, http://www.ietf.org/rfc/rfc2119.txt .	
	5	Bray, Tim, Dave Hollander, and Andrew Layman, editors. Namespaces in XML. Textuality, Hewlett-Packard, and Microsoft. World Wide Web Consortium, 1999, http://www.w3.org/TR/REC-xml-names/	
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	14	DeRose, Steve, editor. XML XLink Requirements Version 1.0. Brown University. Seekonk: World Wide Web Consortium, 1999.	
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Sheet

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First Named Inventor	Alok K. Srivastava et al.
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	16	DeRose, Steve, Eve Maler, David Orchard, and Ben Trafford, editors. XML Linking Language (XLink). World Wide Web Consortium, December 2000. http://www.w3.org/TR/xlink/	
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	23	Gibson, David, Jon Kleinberg, and Prabhakar Raghavan. 1998. "Inferring Web Communities from Link Topology." In <i>Proceedings of Hypertext '98</i> , Pittsburgh, PA. NY: Association for Computing Machinery Press.	
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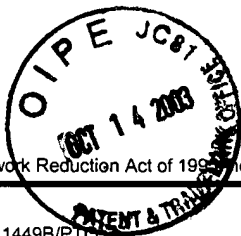
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	26	Halasz, Frank. 1994. "The Dexter Hypertext Reference Model." In <i>Communications of the Association for Computing Machinery</i> 37 (2), February 1994: 30-39.	
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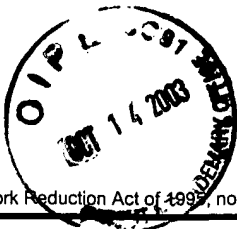
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	40	Sperberg-McQueen C.M. and Lou Burnard, editors. Guidelines for Electronic Text Encoding and Interchange. Association for Computers and the Humanities (ACH), Association for Computational Linguistics (ACL), and Association for Literary and Linguistic Computing (ALLC). Chicago, Oxford: Text Encoding Initiative, 1994.	
	41	Trigg, Randall H. 1988. "Guided Tours and Tabletops: Tools for Communicating in a Hypertext Environment." In <i>ACM Transactions on Office Information Systems</i> , 6.4 (October 1988): 398-414.	
	42	Trigg, Randall H. 1991. "From Trailblazing to Guided Tours: The Legacy of Vannevar Bush's Vision of Hypertext Use." In Nyce, James M. and Paul Kahn, eds, 1991, <i>From Memex to Hypertext: Vannevar Bush and the Mind's Machine</i> . San Diego: Academic Press, pp. 353-367. A thorough review.	
	43	van Ossenbruggen, Jacco, Anton Eliëns and Lloyd Rutledge. "The Role of XML in Open Hypermedia Systems." Position paper for the 4th Workshop on Open Hypermedia Systems, ACM Hypertext '98. http://aue.auc.dk/~kock/OHS-HT98/Papers/ossenbruggen.html	
	44	Whitehead, E., "XML Media Types." Internet Engineering Task Force, Network Working Group, July 1998. http://www.ietf.org/rfc/rfc2376.txt	
	45	XHTML 1.0: The Extensible HyperText Markup Language. World Wide Web Consortium, January 2000. (See http://www.w3.org/TR/2000/REC-xhtml1-20000126/)	
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	47	Yankelovich, Nicole, Norman Meyrowitz, and Andries van Dam. 1985. "Reading and Writing the Electronic Book." <i>IEEE Computer</i> 18 (October, 1985): 1-30.	
	48	Yergeau, F., Network Working Group, "UTF-8, a transformation format of ISO 10646." Internet Engineering Task Force, 1998. http://www.ietf.org/rfc/rfc2279.txt	
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